**CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL**

Submit an original, and a duplicate for fee processing

CHECK BOX, if applicable

☐ DUPLICATE

(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

Address to:

Assistant Commissioner for Patents  
Box CPA  
Washington, DC 20231Attorney Docket No.  
of Prior Application

11949/2

First Named Inventor

Stephen C. Wren

Examiner Name

A. Kalinowski

Group / Art Unit

2761

Express Mail Label No.

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d),(continued prosecution application (CPA)) of prior application number 08/650,834filed on May 20, 1996, entitled SYTEM FOR MARKETING GOODS AND SERVICES UTILIZING COMPUTERIZED CENTRAL AND REMOTE FACILITIES**NOTES**

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

**C-IP NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

**WARNING:** Information on this form may become public. Credit card information should not be included on this form.  
Provide credit card information and authorization on PTO-2038.

1. ☐ Enter the unentered amendment previously filed on under 37 CFR 1.116 in the prior nonprovisional application
2. ☒ A Preliminary Amendment with a Request for Three-Month Extension of Time are enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53 (d)(4).
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
    - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ PTO-1449
  - b. ☐ Copies of IDS Citations

[Pag 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| CLAIMS   | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE  | (5) CALCULATIONS |
|--|---------|------------------|------------------|---|------------------|
| TOTAL CLAIMS<br>(37 CFR 1.16(c) or (i))                    |         | 47-20 =          | 27               | x \$18=   | \$ 486.00        |
| INDEPENDENT CLAIMS<br>37 CFR 1.16(b) or (i))               |         | 4-3 =            | 1                | x \$80=   | 80.00            |
| MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) |         |                  |                  | x \$ ____ =   |                  |
|  |         |                  |                  | BASIC FEE<br>(37 CFR 1.16)                                      | 710.00           |
|  |         |                  |                  | Total of above Calculations =                                   | 1,276.00         |
|  |         |                  |                  | Reduction by 50% for filing by small entity (Note 37 CFR 1.27). |                  |
|  |         |                  |                  | * Reissue claims in excess of 20 and over original patent.      |                  |
|  |         |                  |                  | ** Reissue independent claims over original patent.             |                  |
|  |         |                  |                  | TOTAL =   | 1,276.00         |

6. Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 11 - 0600 :
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☒ Fees required under 37 CFR 1.18.
8. ☐ A check in the amount of \$ \_\_\_\_ is enclosed.
9. ☐ Payment by credit card. Form PTO-2038 is attached.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) (fee under 37 CFR 1.17(l) enclosed).
11. ☐ New Attorney Docket Number, if desired  
*[Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.]*
12. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)
- b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)
13. ☐ Other:

**NOTE:**

The prior application's correspondence address will carry over to this CPA  
 UNLESS a new correspondence address is provided below.

**12. NEW CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ New correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

**13. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print /Type)

Brian S. Mudge

Signature

*Brian S. Mudge*

Registration No. (Attorney/Agent)

40,738

Date

June 8, 2001



OFFICE OF FINANCE  
REFUND BRANCH

Dep & Ref # 30-

PATENT  
Docket No. 11949/2

2001 SEP 31 PM 2:38

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

US PATENT & TRADEMARK  
OFFICE

INVENTORS : Steve WREN  
SERIAL NO. : 08/650,834  
FILED : May 20, 1996  
FOR : SYSTEM FOR MARKETING GOODS AND SERVICES  
UTILIZING COMPUTERIZED CENTRAL AND REMOTE  
FACILITIES

#32/ Reg for  
Refund

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

ATTENTION: REFUND SECTION

SMALL ENTITY REQUEST FOR REFUND UNDER 37 C.F.R. § 1.28

SIR:

Applicant respectfully submits that they are entitled to payment of fees as a small entity. The Applicant therefore requests that a refund in the amount of 1,083.00 be credited to Kenyon & Kenyon Deposit Account No. 11-0600, representing one-half of the \$1,276.000 application filing fee and one-half of the \$890.00 Request For Three-Month Extension of Time filing fee, both of which were paid on June 11, 2001. A copy of these papers and the date-stamped post card is enclosed for that purpose.

Respectfully submitted,

KENYON & KENYON

Dated: Sept. 26, 2001

Dianoosh Salehi  
Dianoosh Salehi  
(Reg. No. 46,352)

KENYON & KENYON  
1500 K Street, N.W., Suite 700  
Washington, D.C. 20005  
Telephone: (202) 220-4200  
Facsimile: (202) 220-4201

PATENT & TRADEMARK  
OFFICE  
SEP 28 01  
PATENT & TRADEMARK  
OFFICE  
2001 OCT -1 AM 9:02  
OFFICE OF FINANCE  
REFUND BRANCH

The stamp of the Patent Office may be used as acknowledging the receipt, on the date stamped, of

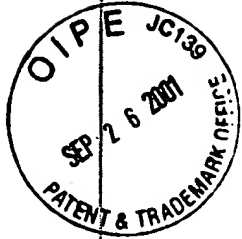
INVENTOR(S): Stephen C. Wren

SERIAL NO.: 08/650,834

FILED: May 20, 1996

TITLE: SYSTEM FOR MARKETING GOODS AND SERVICES

UTILIZING COMPUTERIZED CENTRAL AND REMOTE FACILITIES



The PTO is authorized to charge \$1,276.00 fee regarding this filing to Deposit Account No. 11-0600.

**PAPERS FILED:**

1. CONTINUED PROSECUTION APPLICATION REQUEST  
TRANSMITTAL (CPA)
2. PRELIMINARY AMENDMENT
3. REQUEST FOR THREE-MONTH EXTENSION OF TIME



Brian S. Mudge/vjw

11949/2

June 11, 2001

7